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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages **48**]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets]

5. Oath or Declaration [Total Pages **2**]

a. Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)

i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No. _____

Prior application information:

Examiner _____

Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach Bar code label here)			<input type="checkbox"/> or <input checked="" type="checkbox"/> Correspondence address below
Name	Charles J. Zeller			
Address	Clairol Incorporated 2 Blachley Road			
City	Stamford	State	Connecticut	Zip Code 06922
Country	United States	Telephone	(203) 316-2566	Fax

Name (Print/Type)	Charles J. Zeller	Registration No. (Attorney/Agent)	28,682
Signature			Date 01/18/02

Burden Hour Statement: This form is estimated to take 02 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Express Mail Label No. **EM239962574US**ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. Computer Readable Form (CRF)

b. Specification Sequence Listing on:

- i. CD-ROM or CD-R (2 copies); or
- ii. paper

c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney

11. English Translation Document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. Other:

10/05/2013
002 U.S. PRO

01/18/2002

JCS25 U.S.
PTO

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 948.00)

Complete if Known

Application Number	
Filing Date	JANUARY 18, 2002
First Named Inventor	MU-III LIM, et al
Examiner Name	
Group Art Unit	
Attorney Docket No.	CP-1221

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

02-3864

Deposit Account Name

CLAIROL INCORPORATED

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee	Fee	Fee	Fee Description
101	740	201	370	Utility filing fee	

Fee Paid

740.00

Fee Code (\$)	Fee Code (\$)	Fee	Fee	Fee	Fee Description
106	330	204	165	Design filing fee	

Fee Code (\$)	Fee Code (\$)	Fee	Fee	Fee	Fee Description
107	510	207	255	Plant filing fee	

Fee Code (\$)	Fee Code (\$)	Fee	Fee	Fee	Fee Description
108	740	208	370	Reissuing filing fee	

Fee Code (\$)	Fee Code (\$)	Fee	Fee	Fee	Fee Description
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 740.00)

2. EXTRA CLAIM FEES

Total Claims	13	-20** =	0	X		Fee Paid
Independent Claims	5	-3** =	2	X	84.00	168.00
Multiple Dependent						

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee	Fee	Fee	Fee Description
103	18	203	9	Claims in excess of 20	

Fee Code (\$)	Fee Code (\$)	Fee	Fee	Fee	Fee Description
102	84	202	42	Independent claims in excess of 3	

Fee Code (\$)	Fee Code (\$)	Fee	Fee	Fee	Fee Description
104	260	204	140	Multiple dependent claim, if not paid	

Fee Code (\$)	Fee Code (\$)	Fee	Fee	Fee	Fee Description
109	84	209	42	** Reissue independent claims over original patent	

Fee Code (\$)	Fee Code (\$)	Fee	Fee	Fee	Fee Description
110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 168.00)

*or number previously paid, if greater. For Reissues, see above

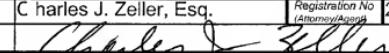
FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath		
127	50	227	25	Surcharge - late provisional filing fee or cover sheet		
139	130	139	130	Non-English specification		
147	2,520	147	2,520	For filing a request for ex parte reexamination		
112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
115	110	215	55	Extension for reply within first month		
116	400	216	200	Extension for reply within second month		
117	920	217	460	Extension for reply within third month		
118	1,440	218	720	Extension for reply within fourth month		
128	1,960	228	980	Extension for reply within fifth month		
119	320	219	160	Notice of Appeal		
120	320	220	160	Filing a brief in support of an appeal		
121	280	221	140	Request for oral hearing		
138	1,510	138	1,510	Petition to institute a public use proceeding		
140	110	240	55	Petition to revive - unavoidable		
141	1,280	241	640	Petition to revive - unintentional		
142	1,280	242	640	Utility issue fee (or reissue)		
143	460	243	230	Design issue fee		
144	620	244	310	Plant issue fee		
122	130	122	130	Petitions to the Commissioner		
123	50	123	50	Processing fee under 37 CFR 1.17(q)		
126	180	126	180	Submission of Information Disclosure Stmt		
581	40	581	40	Recording each patent assignment per property (times number of properties)		
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))		
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))		
179	740	279	370	Request for Continued Examination (RCE)		
169	900	169	900	Request for expedited examination of a design application		
Other fee (specify).						
*Reduced by Basic Filing Fee Paid						SUBTOTAL (3) (\$ 40.00)

SUBMITTED BY

Complete if applicable

Name (Print/Type)	Charles J. Zeller, Esq.	Registration No	28,682	Telephone	(203) 316-2566
Signature		Attorney/Agent		Date	JANUARY 18, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Certificate of Mailing

Date of Deposit: JANUARY 18 , 2002

I hereby certify that the following correspondence is being deposited with the United States Postal Service with Sufficient postage as "EXPRESS MAIL" # EM 239 961 574 US
on JANUARY 18 , 2002 in an envelope addressed to:

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D. C. 20231

- (1) New Patent Application containing 26 pgs. of specification; 4 pgs.; of claims; 1 pg. Abstract
- (2) Combined Declaration and Power of Attorney (2 pgs.) ;
- (3) Assignment (2 pgs.) with Recordation Form Cover Sheet (in dupl.)
- (4) Application Fee Transmittal Form in dupl.
- (5) Utility Patent App. Transmittal
- (6) Certificate of Mailing (Express Mail)
- (7) Return Postcard

Maria Yackanicz / Maria Yackanicz
Signature of person mailing papers & fee